

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

**Docket Number (Optional)**

36287-00701

**Application Number**

## To be Assigned

**Applicant(s)**

David A. Seaman

**Filing Date**

## **Herewith**



## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

N.J.	1	Prospectus Supplement No. 150, The Goldman Sachs Group, Inc, Medium -Term Notes, Series B, October 25, 2000
N.J.	2	Prospectus, Holders Semiconductor, Merrill Lynch & Co., A.G. Edwards & Sons, Inc., May 4, 2000

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

**Docket Number (Optional)**

**36287-00702**

**Application Number**

## To be assigned

**Applicant(s)**

David A. Seaman

**Filing Date**

## **Herewith**

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Sheet 1 of 2

FORM PTO-449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 36287-00702	SERIAL NO. 09/770,020
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)		APPLICANT SEAMAN	
		FILING DATE January 25, 2001	GROUP ART UNIT 2164

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N	5,692,233	11/1997	Garman			
↑	5,802,499	09/1998	Sampson et al.			RECEIVED DEC 20 2001
	5,812,988	09/1998	Sandretto			Group 2100
	5,819,237	10/1998	Garman			
	5,819,238	10/1998	Fernholz			
	5,845,265	12/1998	Woolston			
	5,845,266	12/1998	Lupien et al.			
	5,852,811	12/1998	Atkins			
	5,884,286	03/1999	Daughtery, III			
	5,893,078	04/1999	Paulson			
	5,913,202	06/1999	Motoyama			
	5,926,800	07/1999	Baronowski et al.			
	5,940,810	08/1999	Traub et al.			
	5,946,667	08/1999	Tull, Jr. et al.			
	5,950,175	09/1999	Austin			
	5,950,176	09/1999	Keiser et al.			
	5,950,177	09/1999	Lupien et al.			
	5,963,923	10/1999	Garber			
	5,970,479	10/1999	Shepherd			
↓	5,978,780	11/1999	Watson			
N	5,987,435	11/1999	Weiss et al.			



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N	6,012,042	01/2000	Black et al.			
↑	6,012,046	01/2000	Lupien et al.			
	6,016,483	01/2000	Rickard et al.			
	6,018,721	01/2000	Aziz et al.			
	6,018,722	01/2000	Ray et al.			
	6,021,397	02/2000	Jones et al.			
	6,021,398	02/2000	Ausubel			
	6,026,383	02/2000	Ausubel			
	6,058,379	05/2000	Odom et al.			
	6,061,662	05/2000	Makivic			
	6,076,072	06/2000	Libman			
	6,078,903	06/2000	Kealhofer			
	6,078,904	06/2000	Rebane			
	6,085,176	07/2000	Woolston			
	6,088,685	07/2000	Kiron et al.			
W	6,092,056	07/2000	Tull, Jr. et al.			
N	6,098,051	08/2000	Lupien et al.			
EXAMINER	N. Leebos			DATE CONSIDERED	6/15/05	

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Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.  
36287-00702

SERIAL NO.  
09/770,020

APPLICANT  
SEAMAN

FILING DATE  
January 25, 2001

GROUP ART UNIT  
2164

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)**

N.J.		BOXES Prospectus, Morgan Stanley Dean Witter & Co., Basket Opportunity eXchangable Securities (SM), Pricing Supplement, January 29, 2002, Prospectus dated January 24, 2001, <a href="http://www.sec.gov/Archives/edgar/data/895421/000090342302000046/msdw424b3_1-30.txt">http://www.sec.gov/Archives/edgar/data/895421/000090342302000046/msdw424b3_1-30.txt</a>

EXAMINER

N. Subba

DATE CONSIDERED

6/15/05

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AUG 20 2002

**GROUP 3600**